

Computational Complexity

- a closer look at *decidable* problems
- emphasis on “feasibility” and “efficiency”
- measured in terms of
 1. running time
 2. memory space
 3. number of processors etc.

Running time $t_M(w)$ of a Turing machine M on input word w :
the number of steps M carries out on w from the initial configuration to a halting configuration.

Ex.: DFA can be considered as a type of Turing machine.

Upon reading a character, a DFA changes state and advances its head.

Hence, any Turing machine A simulating some DFA has running time $t_A(w) = 2 \cdot |w|$ for any input w .

Palindromes revisited

$$L_{pal} = \{w \in \{a, b\}^* \mid w = w^R\}$$

```
WHILE input nonempty DO
  Memorize current symbol
  Run to the right end
  IF current symbol does not match mem-
  orized
    THEN return FALSE
  ELSE
    Erase rightmost symbol
    Run to the left end
    Erase leftmost symbol
OD
return TRUE
```

Running time of this algorithm: $t(w) = O(|w|^2)$.
Exercise: Determine c_0, c_1, c_2 such that

$$t(w) = c_2|w|^2 + c_1|w| + c_0$$

What time do we measure?

The TM M for palindromes has the property that $t_M(w) = f(|w|)$ for some function f .

In general, $t(w)$ need not be expressible as a function of the length of w .

Worst-case complexity: consider the longest running time of all inputs of any given length

Average-case complexity: consider the average of all running times for inputs of a given length

In practice, both notions of complexity are important.

We will focus on worst-case (w.c.) complexity.

Then, the (w.c.) running time is a function $f : \mathbb{N} \rightarrow \mathbb{N}$.

Big-O Notation

$g(\cdot) = O(f(\cdot))$ iff, for some constant c and some argument n_0 and all $n \geq n_0$, $g(n) \leq c \cdot f(n)$.

Ex.: $f(n) = 2n^3 + 2n + 5$, $g(n) = 10n^2 + 3$.

For $n \leq 4$, $f(n) \leq g(n)$.

For larger n , $g(n) \leq f(n)$.

Hence $g(\cdot) = O(f(\cdot))$.

Models are polynomially related

We considered variants of *deterministic* TMs:

- multiple tapes
- multiple heads
- multidimensional tape
- RAM-extension

all (also in combination) do not enhance the power of (deterministic) Turing machines; the corresponding simulations “slow down” the computation “only” by a polynomial.

Ex.: There is a linear time 2-head TM deciding L_{pal} .

The class \mathcal{P}

A function $f : \mathbb{N} \rightarrow \mathbb{N}$ is *polynomially bounded* if there is a pol. p s.t., for all $n \geq 0$, $f(n) \leq p(n)$.

Lemma: f pol. bounded iff $\exists k : f(\cdot) = O(\cdot^k)$.

A TM is called *polynomially bounded* (p.b.) iff its (w.c.) running time is p.b.

A language L is called *polynomially decidable* iff there is a p.b. TM that decides L .

This language class is denoted by \mathcal{P} .

Theorem: $\text{CFL} \subset \mathcal{P}$.

CYK and $\{w\$w \mid w \in \{a, b\}^*\}$.

Theorem: \mathcal{P} is closed under complement.

Codings

What is the “size” $|n|$ of a number n ?

This depends on whether n is coded in unary (then, $|n| = O(n)$) or in binary (then, $|n| = O(\log(n))$).

Ex.: $\text{PRIMES} = \{ \langle n \rangle \mid n \text{ is prime} \}$ is trivially in \mathcal{P} if unary encodings are used, but have only recently shown to belong to \mathcal{P} if binary encodings are used.

Quantative CTT

Every problem (language) that is practically feasibly computable is in \mathcal{P} and vice versa.

PROS: Most “interesting” problems in \mathcal{P} have running times bounded by low-degree polynomials involving small constants.

CONS:

- Seymour Robertson type cubic algorithms
- Approximation schemes
- parameterized algorithms
- “efficient” exponential algorithms

The Polynomial Halting Problem

$\text{HALT}_P = \{ \langle M \rangle \$ \langle w \rangle \mid \text{TM } M \text{ halts on } w \text{ and outputs } 1 \text{ in at most } 2^{|\langle w \rangle|} \text{ steps} \}$

Thm. 1 HALT_P is decidable but not in \mathcal{P} .

Proof: Recursivity should be clear. we show that HALT_P is not in \mathcal{P} . Otherwise,

$$H_0 = \{ \langle M \rangle \mid \langle M \rangle \$ \langle M \rangle \in \text{HALT}_P \}$$

were in \mathcal{P} . Hence, $\overline{H_0}$ would be in \mathcal{P} .

Let M_0 be a TM deciding $\overline{H_0}$ in pol. time p with $p(x) \leq 2^x$ for all $x \geq |\langle M_0 \rangle|$.

If M_0 accepts $\langle M_0 \rangle$, then $\langle M_0 \rangle \in L(M_0) = \overline{H_0}$, contradicting the assumption that H_0 contains all TM's that run at most $2^{|\langle M_0 \rangle|}$ steps when fed with their own description.

Hence, M_0 does not accept $\langle M_0 \rangle$. Hence, $\langle M_0 \rangle \notin L(M_0) = \overline{H_0}$, i.e., $\langle M_0 \rangle \in H_0$, which, by definition of H_0 , should mean that M_0 runs at most $2^{|\langle M_0 \rangle|}$ steps on $\langle M_0 \rangle$, contradiction!

Hence, H_0 (and hence HALT_P) are not in \mathcal{P} .

Anything else beyond \mathcal{P} ?

TSP: Travelling Salesman Problem

Given an edge-weighted undirected graph $G = (V, E)$ and a vertex v_0 in G , find a *tour* of minimum weight (cost), i.e., a path of length $n = |V|$ that visits every vertex once, returning finally to v_0 .

Interpretation: vertices are cities and weights are travel costs.

Simple algorithm: consider all possible paths

$$v_0 = v_{i_0}, v_{i_1}, \dots, v_{i_n} = v_0$$

Unfortunately, there are $(n - 1)!$ many paths. Hence, this algorithm is *not* p.b.

OPEN PROBLEM: Is TSP $\in \mathcal{P}$?

This formulation is still ill-defined, since TSP is not a “language”. We have first to convert the optimization problem into a decision problem:

TSP: Given an undirected graph $G = (V, E)$ and a weight-matrix $W = (w_{ij})$, as well as a bound B , is there a tour

$$v_{i_0}, v_{i_1}, \dots, v_{i_n} = v_{i_0}$$

with total weight

$$\sum_{j=1}^n w_{i_{j-1}, i_j} \leq B \quad ?$$

Taking some natural coding of (the adjacency matrix of) G , of W and of B , TSP “becomes” a language:

TS = $\{\langle G \rangle \$ \langle W \rangle \$ \langle B \rangle \mid \text{there is a tour in } G \text{ with total weight not exceeding } B\}$

HCP: Hamiltonian cycle problem

is a (seemingly) simplified version of TSP:

Given a graph G , does G have a tour (which is called *Hamiltonian cycle* in this case)?

$HC = \{ \langle G \rangle \mid G \text{ has a Hamiltonian cycle} \}$

Ex.:

No essentially better algorithm than the obvious $O(n!)$ (where n is the number of vertices) is known.

The Clique Problem

A *clique* in a graph $G = (V, E)$ is a subset $V' \subseteq V$ such that

$$\forall v_1, v_2 \in V' : v_1 \neq v_2 \Rightarrow \{v_1, v_2\} \in E$$

The Clique Problem is a combinatorial optimization problem: find some clique of maximal size in a given graph G .

The corresponding language is:

$$C = \{\langle G \rangle \$ \langle k \rangle \mid G \text{ has a clique of size } k\}$$

The obvious algorithm would try all k -subsets of V , hence having a running time of about n choose k .

The class \mathcal{NP}

Above, we left out one extension of TMs: NONdeterminism.

A nondeterministic TM is *polynomially bounded* if there is a polynomial p s.t., for any input string w , *at least one computation* of M on w halts in at most $p(|w|)$ steps.

\mathcal{NP} is the class of languages decidable by p.b. nondeterministic TMs.

The awkward part: nonaccepting computations.

Guess and check

How to design p.b. nondeterministic algorithms?

Ex.: HC:

1. GUESS a sequence T of n vertices of graph G
(in n nondeterministic steps)
2. CHECK that T is a Hamiltonian cycle
(using a deterministic pol.time algorithm)

Ex.: Clique:

1. GUESS a sequence C of k vertices of graph G (k nondeterministic steps)
2. CHECK that C is a clique (in \mathcal{P} -time)

Hence, both HC and C are in \mathcal{NP} .

\mathcal{P} vs. \mathcal{NP}

Philosophical question:

Is verifying essentially easier than finding a solution?

Common sense and experience says YES.

Most computer scientists “believe” that $\mathcal{P} \neq \mathcal{NP}$.

BUT: There is no proof for this (yet).

Basic question: Can a p.b. NONdeterministic TM be simulated by a p.b. deterministic TM?

A related (math.) OPEN question:

Is \mathcal{NP} closed under complement?

Languages in \mathcal{NP}

We have seen that C , HC and TSP are in \mathcal{NP} .

Were we just too dull to find a p.b. deterministic algorithm?

What would $C \in \mathcal{P}$ mean for the basic question of the previous slide?

In what follows, we will show that $C \in \mathcal{P}$ would imply that $\mathcal{P} = \mathcal{NP}$ (similarly for the other problems).

Hence, this case is *very unlikely*.

Main tool for this sort of proofs: *reduction*.